

39-04-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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JC62 U.S. PTO  
08/31/01UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	J-2605A
First Inventor	Richard W. Avery
Title	Production of Stable Hydrolyzable Organosilane Solutions
Express Mail Label No.	EJ484161884US

09/945142  
08/31/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 10]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets    ]
5. Oath or Declaration [ Total Pages    ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: **SEE ATTACHED LIST**

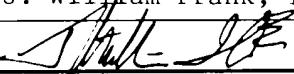
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part(CIP)  
Prior application information: Examiner M. Cole of prior application No. 09/274,273  
Group Art Unit 1743

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion of the disclosure is omitted from the submitted application parts.

## 19. BUSINESS ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	U.S. Patent and Trademark Office			<input type="checkbox"/> Correspondence address below
Name	S. C. JOHNSON & SON, INC.			
Address				
City		State		Zip Code
Country		Telephone		Fax

Name (Print/Type)	J. William Frank, III.	Registration No. (Attorney/Agent)	25,626
Signature			Date 8/3/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 820.00)

Complete if Known

Application Number

Filing Date August 31, 2001

First Named Inventor Richard W. Avery

Examiner Name

Group Art Unit

Attorney Docket No J-2605A

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number 10-0849

Deposit Account Name S. C. JOHNSON & SON, INC.

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:  
 Check  Credit card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
				<b>SUBTOTAL (1)</b>	<b>(\$ 710)</b>

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3*** =	X	

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	125	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
				<b>SUBTOTAL (2)</b>

\*\* or number previously paid, if greater. For Reissues, see 37 CFR 1.16.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	133	130	Non-English specification	
147	2,520	147	2,520	Filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
129	1,390	229	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	133	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(d))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
				<b>Terminal Disclaimer</b>	<b>110</b>
				<b>Reduced by Basic Filing Fee Paid</b>	<b>SUBTOTAL (3) (\$ 110)</b>

Complete if Applicable

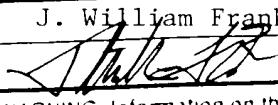
## SUBMITTED BY

Name, Print, Type: J. William Frank, III.

Registration No  
Attorney, Agent

25,626

Telephone 262-260-2673

Signature: 

Date: 8/31/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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CERTIFICATION UNDER 37 CFR 1.10

I HEREBY CERTIFY THAT THE ACCOMPANYING TRANSMITTAL LETTER AND THE DOCUMENTS REFERRED TO THEREIN ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICES ON THIS DATE.

August 31, 2001

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ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231.

Sharon L. Klaus  
(PERSON MAILING PAPER)

Sharon L. Klaus  
(SIGNATURE OF PERSON MAILING PAPER)

Attachment to Utility Patent Application Transmittal

Applicant: Richard W. Avery  
Title: Production of Stable Hydrolyzable Organosilane Solutions

2 pgs. Terminal Disclaimer To Obviate A Provisional double Paten Rejection over A Pending Second Application

7 pgs. Plus U.S. Patent 6,113,815 Request For Interference Under 37 C.F.R. 1.607 Accompanying Application

6 pgs. Letter under 37 C.F.R. 1.608.(b)

3 pgs. Inventor's Declaration Under 37 C.F.R. 1.608(b) plus 9 pages Exhibit A

3 pgs. Corroborating Witness Declaration Under 37 C.F.R. 1.608(b) plus 9 pgs. Exhibit A

2 pgs. Information Disclosure Statement

1 pg. Associate Power of Attorney